



# Alpaca

## Letter of Instruction for Direct Registration System (DRS)

For use when requesting a transfer to/from the client's transfer agent into their Alpaca Markets Brokerage account.

### Receiving Account Information

Account Owner:

Alpaca Account Number:

Address:

Daytime Phone Number:

Clearing Firm: Alpaca Securities

DTC #: 3021

### Delivering Transfer Agent

Transfer Agent:

Transfer Agent Account Number:

SSN/Tax ID on File at Transfer Agent:

DTC #:

### Assets to be Transferred

Security Name:

Symbol/Cusip:

Number of Shares (Whole shares only):

**NOTE:** There is a \$125 DRS processing fee and a \$50 resubmission for any rejections due to registration mismatch, SSN/TIN mismatch, insufficient shares

Regards,

Client Name \_\_\_\_\_

Client signature \_\_\_\_\_

Date \_\_\_\_\_